

SECTION 51 MANUAL FOR PIXIU FINANCIAL ENGINEERING (PTY)LTD

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Introduction to Pixiu Financial Engineering (Pty) Ltd

We are in the business of asset and liability structuring and the development of niche financial products for the financial services industry.

Our core business is structured finance and structured products.

PARTICULARS IN TERMS OF THE SECTION 51 MANUAL

1. Contact details [Section 51(1)(a)]

Address: 2nd Floor
Pro Sano Park
Charl Cronje Drive
Tygervalley
7536

Telephone details: Tel : 27 21 915 5100
Fax : 27 21 915 5111

Website: www.gryphon.com

E-mail address: inquiries@gryphon.com

Chief Executive Officer: Pankie Kellerman

2. The section 10 Guide on how to use the Act [Section 51(1)(b)]

The Guide will be available from the South African Human Rights Commission by not later than August 2003. Please direct any queries to:

The South African Human Rights Commission:

PAIA Unit

The Research and Documentation Department

Postal address: Private Bag 2700
Houghton
2041

Telephone: +27 11 484-8300
Fax: +27 11 484-0582
Website: www.sahrc.org.za
E-mail: PAIA@sahrc.org.za

3. **Records available in terms of any other legislation** [Section 51(1)(d)]

1. Basic Conditions of Employment No. 75 of 1997
2. Companies Act No. 61 of 1973
3. Currency and Exchanges Act No. 9 of 1933
4. Employment Equity Act No. 55 of 1998 1999
5. Income Tax Act No. 95 of 1967
6. Labour Relations Act No. 66 of 1995
7. Regional Services Councils Act No. 109 of 1985
8. Skills Development Levies Act No. 9 of 1999
9. Skills Development Act No. 97 of 1998
10. Value Added Tax Act No. 89 of 1991

4. **Access to the records held by the private body in question** [Sections 51(1)(c) and 51(1)(e)]

- i. **The latest notice regarding the categories of records of the body, which are available without a person having to request access in terms of this Act in terms of section 52(2)** [Section 51(1)(c)]

Not applicable

- ii. **Records that may be requested. A description of the subjects of the records held by the body and the categories in which these subjects are classed** [Section 51(1)(e)]

CLASSIFICATION OF RECORDS:

Administration: (subject)

- Registration as a company.
- Minutes of Board Meetings
- Correspondence

Human Resources:

- Outsourced to sister company

Operations:

- Transaction Records
- Client records
- Client reports
- Agreements with Clients, Suppliers and other related documentation

Finances:

- Financial statements

- Annual financial statements
- Vouchers

iii. The request procedures.

Form of request:

- The requester must use the prescribed form to make the request for access to a record. This must be made to the head of the private body. This request must be made to the address, fax number or electronic mail address of the body concerned [s 53(1)].
- The requester must provide sufficient detail on the request form to enable the head of the private body to identify the record and the requester. The requester should also indicate which form of access is required. The requester should also indicate if any other manner is to be used to inform the requester and state the necessary particulars to be so informed [s 53(2)(a) and (b) and (c)].
- The requester must identify the right that is sought to be exercised or to be protected and provide an explanation of why the requested record is required for the exercise or protection of that right [s 53(2)(d)].
- If a request is made on behalf of another person, the requester must then submit proof of the capacity in which the requester is making the request to the satisfaction of the head of the private body [s 53(2)(f)].

Fees:

A requester who seeks access to a record containing personal information about that requester is not required to pay the request fee. Every other requester, who is not a personal requester, must pay the required request fee:

- The head of the private body must notify the requester (other than a personal requester) by notice, requiring the requester to pay the prescribed fee (if any) before further processing the request [s 54(1)].
- The fee that the requester must pay to a private body is R50. The requester may lodge an application to the court against the tender or payment of the request fee [s 54(3)(b)].
- After the head of the private body has made a decision on the request, the requester must be notified in the required form.
- If the request is granted then a further access fee must be paid for the search, reproduction, preparation and for any time that has exceeded the prescribed hours to search and prepare the record for disclosure [s 54(6)].

5. **Other information as may be prescribed** [*Section 51(1)(f)*]

The Minister of Justice and Constitutional Development has not made any regulations in this regard.

6. **Availability of the manual** [*Section 51(3)*]

The manual is also available for inspection at the offices during offices hours of the Pixiu Financial Engineering (Pty) Ltd free of charge; and copies are available with the SAHRC, in the Gazette and on the website.